

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

**Application Number**

09/776,394

**Filing Date**

February 2, 2001

**First Named Inventor**

Bryan

**Group Art Unit**

3738

**Examiner Name**

Stewart

**Total Number of Pages in this Submission****Attorney Docket Number**

46739/252388

**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Third Supplemental Information  
Disclosure Statement☐ Certified Copy of Priority  
Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence  
Address☐ Terminal Disclaimer☐ Small Entity Statement☐ Request for Refund☐ After Allowance  
Communication to Group☐ Appeal Communication to  
Board of Appeals and  
Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Additional Enclosure(s)

-Return Receipt Postcard

-PTO Form 1449 (1 page)

-3 Publications

**Remarks****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT****Firm  
Or  
Individual Name**

Bruce D. Gray, Reg. No. 35,799

**Signature****Date**

June 20, 2002

**Certificate of Mailing**

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**Typed or printed name**

Emily Guida

**Signature****Date**

June 20, 2002



Handwritten marks: a large 'H' and a circled '8'.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Vincent Bryan et al.

Group Art Unit: 3738

Serial No.: 09/776,394

Examiner:

Filed: February 2, 2001

For: HUMAN SPINAL DISC  
PROSTHESIS

Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket No. 46739/252388

Date: June 20, 2002

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**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), enclosed are copies of the references listed on the attached modified Form PTO-1449

Remarks

Applicants do not concede that the identified materials constitute prior art within the meaning of the United States patent laws.

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 26 day of June, 2002 with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

*Emily Hude*

U.S.S.N.: 09/776,394  
Filed: February 2, 2001  
Supplemental Information Disclosure Statement



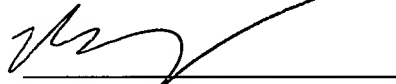
Applicants file this paper pursuant to 37 C.F.R. 1.97(e). Each item of information contained in this paper was first cited in the International Search Report in related Application No. PCT/US01/24791 mailed on March 21, 2002, not more than three months prior to the filing of this paper. Applicants believe that no fee is due in connection with this filing. However, the Commissioner is authorized to debit Deposit Account No. 11-0855 for any such fee due should Applicants' belief be mistaken

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Respectfully submitted,

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Bruce D. Gray  
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